IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. 050851-0101

Applicant: Robert B. PHELPS

Title: METHOD OF ENHANCING VALUE OF PENSION PLAN

ASSETS

Appl. No.: 10/636,125

Filing Date: 08/07/2003

Examiner: Vanel Frenel

Art Unit: 3687

Confirmation 15

1568

Number:

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 C.F.R. §1.97(b),

concurrently with the filing of a Request for Continued Examination (RCE) application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicant respectfully requests that each listed document be considered by the

Examiner and be made of record in the present application and that an initialed copy of Form

PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the

Commissioner is hereby authorized to charge any additional fees which may be required for

this Request to Deposit Account No. 19-0741.

Respectfully submitted,

June 4, 2009

Date

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